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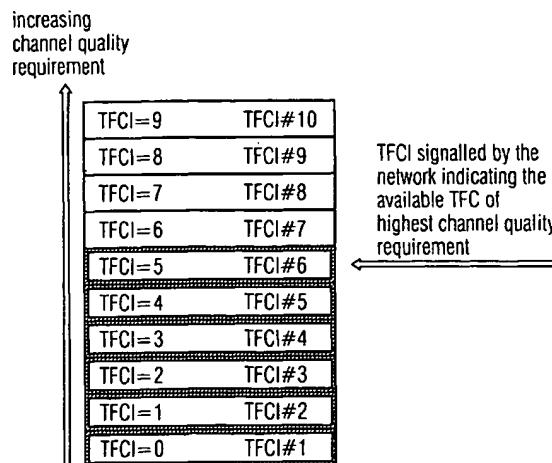
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(54) Title: TFC SELECTION IN THE UPLINK



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(57) Abstract: In mobile communications system comprising a network and at least one mobile station, a method for selecting a transport format combination TFC to be used for communication from the mobile station to the network, over a channel of variable quality. The method comprises the steps of: (in the network) calculating a channel quality requirement for the effective use of each transport format combination; indicating the transport format combinations and the channel quality requirements to the mobile station; calculating an existing quality of the channel; and indicating the existing quality of the channel to the mobile station. The method further comprises (in the mobile station), receiving the indication of existing channel quality; and selecting one of the transport format combinations having a channel quality requirement no greater than the existing channel quality.

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## TFC SELECTION IN THE UPLINK

The present invention relates to the control of multichannel or multimedia data communications from a mobile station to a network, particularly in a GSM mobile telephone system, also known as GERAN – GSM/EDGE Radio Access Network

In earlier embodiments of GSM, the channel coding to be used in the uplink is selected by the network. This is true both in the case of the selection of the codec mode for the adaptive multi-rate (AMR) speech codec and in the case of the selection of the coding scheme for GPRS and EGPRS. The selection is made on the basis of the condition of the uplink – that is, the transmission path from the mobile station to the network – and is signalled to the mobile station. If the uplink is in a good condition, large amounts of data may be transmitted. The mobile station did not participate in defining the channel coding scheme to be used in the uplink.

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In earlier embodiments of GSM/GPRS, communication between a network and a mobile station is defined as a single or a multitude of temporary block flows (TBFs), a TBF being a set of procedures defining protocols for data transfer, data acknowledge and so on. At any moment in time, only one TBF is allowed to transmit data in the uplink. The network would send a USF (Uplink State Flag) signal to the mobile station. This signal informs the mobile station of which TBF is given permission to use the radio channel. The mobile station did not participate in defining the TBF allowed to transmit data. This is possible to manage for the network because only one TBF can be transmitted in a single radio block.

25 A recent improvement to the GSM service is the proposed Flexible Layer One (FLO). This improvement will allow data belonging to different TBFs to be transmitted from the mobile station to the network in a single radio block. For example, the different TBFs could correspond to voice data, control data, an image file, video data, interactive web-based service data, or unidentified user data for transfer across the network. Each of these data types will have a defined priority, and tolerated delay. For instance, if transmitting a static image file, it is important that all data is received, but it is of relatively little importance

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whether the complete file takes a long time to arrive at its destination. On the other hand, when transmitting voice data, it is important that the data arrive with as short a delay as possible, whereas the loss of occasional data is relatively unimportant. Control signalling between the mobile station and the network, on the other hand, must be received quickly and accurately. Similar criteria could be defined for other data types.

For example, control data, voice and video data may be multiplexed together into a single radio block, and all data types may meet the requirements for quality and timely delivery. Should the uplink quality degrade, it may no longer be possible to transmit all of these types 10 of data together. A decision will need to be taken as to whether to transmit, for example, just the control data, the control data and the video data or the control data and the voice data.

In known versions of FLO, the transmitter sends, together with the data, a TFCI (Transport Format Combination Indicator) signal to the receiver, to inform it of the particular Transport 15 Format Combination (TFC), i.e. combination of data types, that has been used during transmission. A major drawback with this system is that the TFC to be used by the mobile station cannot be selected by the network, as the network is unaware of the types and quantity of each data type that the mobile station has to transmit. Therefore, it is desirable that the mobile station should be involved in deciding the data transmission format to be used.

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In the UTRAN system, the scheduling of uplink data is under the control of the mobile station. Further details may be found in 3GPP TS 25.133, 3GPP TS 25.321 and 3GPP TS 25.331, available from the internet site [www.3gpp.org](http://www.3gpp.org). The mobile station handles the dynamic control of the uplink formatting, although the base station has the possibility to limit 25 the selection available to the mobile station in a semi-static fashion. The TFC to be used in the uplink will to some extent depend on the conditions of the radio channel. The system is arranged such that the base station receives equal signal power from all mobile stations. Voice, data and other services have different power requirements which may be accommodated within a fixed received power level. The power transmitted by the mobile 30 station is adjusted, by means of a feedback loop, so that the power received by the base transceiver station (BTS or Node B) is approximately constant, equal to a value set by the

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network. For the UTRAN system, this feedback loop may operate at a speed of 1500Hz. This enables the mobile station to obtain an estimate of the uplink channel conditions from the transmit power commanded by the network, offering effective TFC adaptation to uplink channel conditions. However, the corresponding feedback loop in GSM/GERAN operates 5 only at approximately 2Hz. This is too slow for effective TFC adaptation to uplink channel conditions. Therefore, a system similar to that used in the UTRAN system could not be used in the GSM / GERAN system. A criterion based on the estimated mobile station transmit power, as used for UTRAN, is suitable for a CDMA system (where power is the common shared resource) but would not work in a TDMA system such as GSM/GERAN. Therefore, 10 different criteria need to be defined for the GSM/GERAN mobile station to decide whether a TFC is available for use or not.

The present invention accordingly seeks a method for providing an effective mechanism to enable a mobile station to select an appropriate transport format combination (TFC) based on 15 uplink channel conditions.

For Flexible Layer One (FLO) operation in GSM/GERAN, it has been agreed in 3GPP that the TFC to be used in the uplink is selected by the mobile station. However, in order to select the best TFC during every Transmission Time Interval (TTI), the mobile station should have 20 an estimate of the radio channel conditions, such as the channel-to-interference ratio CIR. The problem is that the channel conditions in the uplink are not known to the mobile station, but only to the network. Therefore, in the algorithm proposed in this application for the GERAN, the mobile station will need to rely on assistance provided by the network to perform a selection.

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A somewhat similar scheme for application to UTRAN communication systems is described in Tdoc R1-02-1277 presented at the TSG-RAN WG1 #29 meeting in November 2002 and available from the internet site [www.3gpp.org](http://www.3gpp.org).

30 The invention accordingly provides, in a mobile communications system comprising a network and at least one mobile station, a method for selecting a transport format

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combination TFC to be used for communication from the mobile station to the network, over a channel of variable quality. The method comprises the steps of, in the network:

- a) defining a set of possible transport format combinations;
- b) calculating a channel quality requirement for the effective use of each transport format combination;
- c) indicating the transport format combinations and the channel quality requirements to the mobile station;
- d) calculating an existing quality of the channel of variable quality; and
- e) indicating the existing quality of the channel of variable quality to the mobile station;
- and, in the mobile station;
- f) storing the transport format combinations and relative channel quality requirements;
- g) receiving the indication of existing channel quality;
- h) selecting one of the transport format combinations having a channel quality requirement no greater than the existing channel quality, and
- i) informing the network of the selected transport combination.

The step (h) of selecting one of the transport format combinations may be performed with regard to the type of data to be transmitted by the mobile station.

The transport format combinations preferably enable transmission of data blocks containing data from different TBFs in each block.

Calculation of the existing quality of the channel of variable quality may be performed periodically during communication.

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The relative channel quality may be calculated as the minimum channel quality required such that data sent on the channel is received with an error ratio below a defined threshold.

The step (c) of indicating transport format combinations and channel quality requirements to the mobile station may include the steps of:

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(c1) ranking the transport format combinations according to the associated channel quality requirement; and

(c2) indicating the rank (TFCI) of each transport format combination to the mobile station, along with the transport format combinations themselves, to the mobile station. The step (c2)

5 of indicating the rank of each transport format combination may comprise indicating the transport format combinations themselves in order of increasing, or decreasing, rank. The step of indicating the existing quality of the channel of variable quality comprises indicating the rank of the transport format combination having the highest channel quality requirement, which could effectively be employed on the channel in its existing quality. The rank may be

10 indicated as an absolute value. Alternatively, The rank may be indicated as a relative value, being an offset relative to a previous value of the rank.

The indication of the existing quality of the channel of variable quality may be communicated to the mobile station by inband signalling, whereby the indication of the

15 existing quality of the channel of variable quality is included in every downlink radio packet, in data locations normally assigned for carrying user information.

The indication of the existing quality of the channel of variable quality may be communicated to the mobile station by inband signalling, whereby the indication of the

20 existing quality of the channel of variable quality is split into sections, respective sections being transmitted in respective successive downlink radio packets, in data locations normally assigned for carrying user information. In certain embodiments, two, or six, or eight data bits of each radio packet are employed for communication of the indication of the existing quality of the channel of variable quality.

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The indication of the existing quality of the channel of variable quality may be communicated to the mobile station by inband signalling, whereby the indication of the existing quality of the channel of variable quality is split into sections, respective sections being transmitted in respective successive radio bursts. In certain embodiments, two data bits

30 of each burst are employed for communication of the indication of the existing quality of the channel of variable quality.

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The indication of the existing quality of the channel of variable quality may be communicated to the mobile station using the slow associated control channel SACCH, whereby two bits of the SACCH header are employed to transmit the indication of existing 5 quality of the channel of variable quality, over a corresponding number of SACCH messages.

The indication of the existing quality of the channel of variable quality may be communicated to the mobile station using a dedicated channel provided in parallel with the slow associated control channel with embedded enhanced power control SACCH/TP for 10 signalling the indication of existing quality of the channel of variable quality. The signalling may be performed over a number of SACCH/TP bursts, employing twelve bits per SACCH/TP burst. The signalling may recommence at every fourth SACCH/TP burst.

The present invention also provides a communications system arranged to operate according 15 to the method described. The present invention also provides a network arranged to operate within such a communications system. The present invention also provides a mobile station arranged to operate within such a communications system.

The above, and further, objects characteristics and advantages of the present invention will 20 become more apparent from consideration of the following description of certain embodiments, given by way of examples only, with reference to the accompanying drawings, wherein:

Fig. 1 schematically shows the allocation of transport format combination identifiers (TFCIs) to transport format combinations (TFC), and the indication of one TFCI defining a range of 25 allowed TFCs;

Fig. 2 shows results of simulations showing a comparison of user data throughput for various alternative signalling methods according to certain embodiments of the present invention, assuming acknowledged mode operation of the radio link control (RLC);

Fig. 3 shows results of simulations showing a comparison of SDU FER (service data unit 30 frame erasure rate) for various signalling mechanisms according to certain embodiments of

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the present invention, assuming acknowledged mode operation of the radio link control (RLC);

Fig. 4 shows results of simulations showing a comparison of user data throughput for various alternative signalling methods according to certain embodiments of the present invention, 5 assuming unacknowledged mode operation of the radio link control (RLC);

Fig. 5 shows results of simulations showing a comparison of SDU FER (service data unit frame erasure rate) for various signalling mechanisms according to certain embodiments of the present invention assuming unacknowledged mode operation of the radio link control (RLC); and

10 Fig.6 schematically shows a the current format of radio packet for the Flexible Layer One before the operation of interleaving, and a new format detailing a possible position of the inband bits, according to an embodiment of the invention.

A basic description of an example of the invention follows. A background description of TFC selection is available in 3GPP TR 45.902, presented at 3GPP TSG GERAN#15 in June 15 2003 and available from the internet site [www.3gpp.org](http://www.3gpp.org).

1. The network configures a set of possible service mixes – transport format combinations (TFCs) – and signals these to the mobile station. When defining the transport format combination set (TFCS), the TFCs are ranked according to the radio conditions or signal quality required to achieve the specified quality of service. For example, the requirement could be that, with the current radio conditions, the transport blocks sent on all the transport channels (TrCHs) included in the TFC are received with a block error ratio (BLER) lower than a specified value, e.g. 1%. Accordingly, the higher the TFCI, the better the quality of the radio link required.  
20 This could be characterised, for example, in terms of the received power level (RXLEV), bit error probability (BEP), the block error ratio (BLER) on the different transport channels, or other parameters.

2. The ranking is communicated to the mobile station at call set-up by means of the order in which they are signalled in the assignment message: the TFCs are signalled in the assignment message in increasing order of quality of the link required.  
30 It has been agreed to use in the GERAN system the same mechanism that is used in

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the UTRAN system, whereby the TFCIs are not signalled explicitly in the configuration messages, but are associated to the TFCs in the order in which they are received by the mobile station in a Layer 3 message, although this is not a requirement of the present invention. For example, the first TFC to be signalled will be assigned a TFCI=0, the second TFC will be assigned a TFCI=1, etc. With this mechanism, if the TFCs are signalled in increasing order of required link quality, the TFCs that require better channel conditions will be associated with higher TFCIs.

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3. Based on the measurement performed by the BSS, the network determines periodically within a call the highest allowed TFC, representing the TFC of highest link quality which, with the existing current radio conditions, would meet the quality of service criteria.

4. The network sends in the downlink the indication of such TFC, for example by signalling its associated TFCI.

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5. The mobile station will be allowed to use only TFCs whose TFCI is no higher than the TFCI of the highest allowed TFC, indicated by the network. Some of those TFCs may be restricted for use by higher layer signalling. In that case, the medium access control (MAC) layer will not consider them as possible candidates for selection. The mobile station then selects the particular TFC to be used for transmission in the uplink, for example according to the priority of the data available to be transmitted and other scheduling criteria.

The present invention accordingly provides a method of operation of transmission of data blocks containing data from different TBFs in each block, wherein the transmission channel is of variable quality. The invention is of particular application to the transmission of data 25 from mobile stations by the GSM – or GERAN, system.

The present invention relates to a network assisted uplink TFC (transport format combination) selection. A transport format combination defines the types and rates of different types of data that may be transmitted in a “flexible layer one” by a mobile station to 30 the network. Each transport format combination TFC will have a certain requirement for data rates and delays, and this will define a minimum quality of radio condition required for use of

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that TFC. TFC's may be ranked according to the radio conditions, that is to say, the signal quality of the uplink, required to use the particular TFC. As illustrated in Fig. 1, these may conveniently be associated with TFC identifiers (TFCI) ordered by number, wherein a TFC identified by a higher numbered TFCI needs a higher quality signal in order to operate than 5 does a TFC with a lower numbered TFCI.

In the illustrated example, the signal quality is assumed to be just sufficient to allow TFC#6, the TFC identified by TFCI = 5, to be used. Since the TFCs TFC#1 to TFC#5 identified by TFCI = 0 to 4 each require a lower signal quality than TFC#6, then each of these TFCs is 10 also available for use. Conversely, since the TFCs TFC#7 to TFC#10 identified by TFCI = 6 to 9 each require a higher signal quality than TFC#6, then none of these TFCs is available for use. This situation is illustrated in Fig. 1, where the TFCs represented by shaded boxes are 15 available for use. For example TFCI=0 may indicate TFC#1, which is arranged to carry control messages only; TFCI=3 may indicate TFC#4, which is arranged to carry conversational service only; TFCI=5 may indicate TFC#6, which is arranged to carry conversational service multiplexed with a small amount of interactive data; and TFCI=9 may indicate TFC#10, which is arranged to carry control conversational service multiplexed with a background service. Of course, these definitions are merely examples, and other definitions 20 may be provided, dependent of the types of data to be transferred, and their relative priority.

The uplink signal quality requirement for each TFC may be defined in terms of received signal level, bit error probability, block error ratio on different transport channels, or other parameters.

The ranking of the TFCs is performed by the network. The ranking must be communicated 25 to the mobile station. This may be performed by transmitting the definitions of the TFCs to the mobile station in order of ascending or descending TFCI, that is to say, in order of ascending or descending required quality of the required radio uplink, so that the mobile station may store the TFC definitions in the correct position in the stack shown in Fig. 1.

30 In operation, part of the network, such as the base station subsystem BSS, determines the current radio conditions of the uplink. This is performed in a manner known in itself to those

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skilled in the art. The network determines the TFC of value of pointer 10 indicating the highest allowed TFC which would be capable of being transmitted effectively with the prevailing radio channel conditions. The network transmits this TFCI to the mobile station on the downlink. This transmission may be made using one of the methods described later on 5 the application.

The network – typically in the base transceiver station – will calculate the highest allowed TFC by applying an algorithm to the measured values representing the quality of the radio transmission. For example, the measurements may represent the received signal strength 10 (RXLEV), the bit error probability (BEP), the block error ratio (BLER). This calculation may be performed by the Medium Access Control (MAC) layer of the base station, that is, part of the control software employed by the base station to control signalling to the mobile station.

15 The base station may perform similar calculations in order to select the TFC to be used in the downlink, that is, in the transmissions to the mobile station. For these calculations, the measurements used will need to be provided by the mobile station to indicate the radio conditions – signal quality – of the downlink.

20 The mobile station is then free to select among the allowed TFCs – the shaded ones in the example of Fig. 1. As discussed earlier, each TFC typically provides a different combination of transmission for different data types in single radio blocks. The mobile station will choose the allowed TFC most appropriate to the type, quantity and priority of the data it has to transmit. The selection may typically be made by the medium access control (MAC) layer of 25 the mobile station, being part of the software stored inside of the mobile station which defines its operation. The mobile station then sends an indication of the TFC that it is going to use to the network.

The signalling method used by the network should be part of the 3GPP standards for 30 compatibility between mobile stations and network equipment from different manufacturers. The rules and criteria used by the MAC layer should also be standardised.

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An aspect of the present invention relates to the methods used to signal the highest allowed TFC to the mobile station. The present invention provides numerous alternative methods for signalling the value of TFCI indicating the highest allowed TFC to the mobile station.

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Three principal methods for the network to signal the value of TFCI indicating the highest allowed TFC to the mobile station are: inband signalling; using bits from the SACCH channel associated with the dedicated traffic channel; and use of a new signalling channel, associated with the slow associated control channel with embedded enhanced power control 10 SACCH/TP, to replace the EPCCH. Each of these alternatives will be discussed in turn, along with alternative ways of communicating the TFCI, either by absolute value or by relative value.

“Inband signalling” means that the TFCI corresponding to the value of TFCI indicating the 15 highest allowed TFC is included in every downlink radio packet, in data locations normally assigned for carrying user information, such as voice or video signals. One radio packet is sent every 20ms. Using inband signalling has the advantage that a new value of TFCI indicating the highest allowed TFC could be signalled to the mobile station every 20ms, and therefore the adaptation to the uplink channel conditions is very fast. One disadvantage of 20 this arrangement is that signalling in each radio packet will consume radio resources and will lead to a degradation of performance. For example, a higher carrier to interference ratio (CIR) will be required in order for the transport blocks carried in the radio packet to achieve the same block error rate (BLER).

25 For certain applications, fast adaptation of the value of TFCI indicating the highest allowed TFC may not be necessary, in which case inband signalling may lead to a waste of resources.

A possible compromise could be to spread the bits of the value of pointer 10 indicating the highest allowed TFC over several radio packets. Assuming that an encoded TFCI sequence is 30 made up of  $N$  bits, and that  $n$  of those bits are transmitted in each radio packet, then the adaptation period (i.e. the time required to transmit a new value of the TFCI) is:

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$\lceil N/n \rceil \times 20\text{ms}$ , that is,  $r \times 20\text{ms}$ , where  $(r-1) \cdot n < N \leq r \cdot n$ , and  $r$  is an integer.

For the purposes of the present description, it will be assumed that  $N$  is always equal to the number of encoded bits corresponding to the 5-bit TFCI (e.g. 36 bits in the case of GMSK 5 full-rate channels); however, it could also be decided that  $N$  should be equal to the size of the TFCI used in the downlink. Concerning the value of  $n$ , one possible arrangement is such that only two bits are “stolen” from each radio packet ( $n = 2$ ). This means that 18 radio packets are needed to send a 36-bit encoded TFCI, which corresponds to 360 ms. Alternatively, either 6 or 8 bits could be “stolen” from every radio packet. This would make the signalling faster.

10

In the case of GMSK full-rate channels, the case of  $n = 8$  could also be achieved by stealing two bits from each radio burst. These two bits could be – for example – the bits adjacent to the TSC (i.e. the stealing flags). However, this would require some changes to the current interleaving algorithm for the flexible layer one (FLO).

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Inband signalling has the disadvantage that that fewer bits in a radio packet are used to carry useful information, and therefore the physical layer performance will be somewhat degraded. However, if the number of bits used to signal the value of TFCI indicating the highest allowed TFC is small, then the degradation will be limited.

20

Fig. 6 schematically shows a the current format of radio packet for the Flexible Layer One before the operation of interleaving, and the new format according to an embodiment of the invention, detailing a possible position of the inband bits. The user data is the bit string that results from the rate matching algorithm.

25

An alternative arrangement for signalling the value of pointer 10 indicating the highest allowed TFC to the mobile station is by using bits from the SACCH (slow associated control channel) associated with the dedicated traffic channel. In a SACCH message, 2 spare bits are currently available; they are contained in the SACCH header, as shown in subclause 7.1.1 30 (for the *A/Gb mode* of the GERAN) and 7.1.2 (for the *Iu mode* of the GERAN) of 3GPP TS 44.004, available from the internet site [www.3gpp.com](http://www.3gpp.com). Each SACCH message is therefore

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limited to carry only 2 bits. On the other hand, signalling a TFCI value would typically require 5 bits (when sent uncoded). Therefore it is not possible to signal the value of TFCI indicating the highest allowed TFC in a single SACCH message. One possibility could be to use 3 SACCH messages. In this case the update period, being the time that elapses between 5 the reception of two successive commands, is  $3 \times 480 \text{ ms} = 1440 \text{ ms}$ .

According to a further alternative, the slow associated control channel with embedded enhanced power control SACCH/TP may be used, similarly to the known operation of Enhanced Power Control (EPC). Instead of using the EPCCH associated with it, a new 10 channel may be provided in parallel with the EPCCH for signalling the TFCI indicating the highest allowed TFC to the mobile station. Twelve bits are available in each SACCH/TP burst, and the transmission of a TFCI requires 36 bits when encoded. It would take three SACCH bursts to signal a TFCI value. Two alternative choices are available for signalling these bursts. Firstly, a new value of the TFCI corresponding to the highest allowed TFC may 15 be sent every three SACCH bursts, i.e. every 360 ms. This would provide the shortest update period. Secondly, a new value of the TFCI corresponding to highest allowed TFC may be sent every four SACCH bursts, i.e. every 480 ms. This would involve a longer update period, but would have the advantage of aligning the TFCI transmission with a SACCH block period.

20

The major problem with either of these arrangements is a relatively lengthy update period, which may delay the provision of a value of TFCI indicating the highest allowed TFC indication to the mobile stations. If channel conditions change quickly, the adaptation period of 360ms may be too slow, and the performance of the system may be unsatisfactory. 25 Another disadvantage of using SACCH/TP is that Enhanced Power Control (EPC) could not be used with Flexible Layer One. This is presently regarded as a serious drawback, and such arrangements are not presently preferred.

In all of the schemes proposed above, the major problem is the time delay for the base 30 transceiver station (BTS) or other part of the network to perform the measurements and for the network to signal the value of TFCI indicating the highest allowed TFC to the mobile

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station. The actual delay will depend to a certain extent on the particular scheme used to signal it. The adaptation rate may be too slow, resulting from a long update period. If the channel conditions vary rapidly, the performance of this procedure may not be very good.

5 An alternative approach is that, instead of signalling the complete, absolute TFCI value each time, an incremental value could be sent. For example, the network may simply signal "UP" or "DOWN" to cause the value of the TFCI corresponding to the highest allowed TFC, represented by pointer 10 in Fig. 1, to increase or decrease respectively by one unit. This will be referred to as signalling the relative value. The initial value of the TFCI representing 10 the highest allowed TFC could be signalled in the assignment message, together with the transport format combination set (TFCS) configuration.

In an example, the two spare bits in the SACCH header could be used. In such an example a command varying the value of pointer 10 indicating the highest allowed TFC identity by a 15 relative value could be encoded using these two bits as follows:

00	HOLD	keep current value
01	DOWN	move one position down
10	UP	move one position up
20	11	FAST move two positions in the same direction as the preceding command [UP/DOWN]

The SACCH header is transmitted every 480ms, meaning that the update period is quite long in a multiple of 480ms, depending on the magnitude of the change.

25

In the case of the use of a channel in parallel with the SACCH/TP (alternative to the EPCCH channel), an uncoded message of 2 bits could be encoded using the 12 bits available in each SACCH burst, each SACCH burst having 120 ms burst length. If two bits are stolen from each radio packet and an encoded message is made up of 12 bits, then one command can be 30 sent every 120 ms. Furthermore, if six bits are stolen from every radio packet, for example

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two bits per burst, then a 12 bit message can be sent in two Transmission Time Intervals (TTIs), i.e. every 40ms.

This operation scheme whereby the relative value is signalled may be the most suitable if in-band signalling were chosen. Again, if two bits are stolen from each radio packet and an encoded message is made up of 12 bits, then one command can be sent every 120 ms. But if 6 bits are stolen from every radio packet, then a 12-bit message can be sent in two transmission time intervals (TTIs), i.e. 40 ms.

- 10 The advantage of signalling the relative value is that the adaptation to the channel is faster, however the value of TFCI indicating the highest allowed TFC can be only varied in small steps. While a shorter response time is possible, it may take even longer to signal very sharp changes in the highest allowed TFCI.
- 15 A summary of possible alternatives for signalling the value of TFCI indicating the highest allowed TFC in GMSK full-rate channels described in this section is given in Table 1. In the table, the update period is given for each alternative scheme.

Where to signal  What to signal	SACCH  Header (2 uncoded bits every 480ms)	Channel associated with  SACCH/TP  (12 coded bits every 120ms)	“In band”  (2 coded bits every radio packet)	“In band”  (6 coded bits every radio packet)	“In band”  (8 coded bits every radio packet)
Absolute value	$3 \times 480\text{ms} =$ 1440ms (5-bit uncoded TFCI)	360ms (36-bit coded TFCI)	360ms (36-bit coded TFCI)	120ms (36-bit coded TFCI)	100ms (36-bit coded TFCI)

- 16 -

Relative value ,	480ms (2-bit uncoded command)	120ms (12-bit coded command)	120ms (12-bit coded command)	40ms (12-bit coded command)	40ms (12-bit coded command)
------------------	----------------------------------	---------------------------------	---------------------------------	--------------------------------	--------------------------------

Table 1

Some simulation results comparing the performance for the described signalling alternatives 5 are presented below.

Although the preceding description has been expressed in terms of GMSK full-rate channels, similar methods may also be applied to 8-PSK full-rate channels, as will now be described, by way of example. As explained in subclause 7.5 of 3GPP TR 45.902, for 8-PSK modulated 10 basic physical subchannels the number of bits in an encoded TFCI sequence is twice as those of a GMSK channel. Therefore, the values given in Table 1 need to be revised as shown in Table 2. However, the SACCH is always transmitted using GMSK; therefore it is only the inband solutions that will be different: the two solutions that use either the SACCH header or a new channel sent in parallel to the SACCH/TP are not affected (it is assumed that in these 15 two cases, the TFCI sequences defined for GMSK full-rate channels would be used).

It has been assumed that in order to signal a relative value inband, a 24-bit sequence is used, which corresponds to twice the length as in the case of GMSK full-rate channels. By doing so, the adaptation rate is the same.

20

Table 2 shows a summary of possible alternatives for signalling the value of pointer 10 indicating the highest allowed TFC to the mobile station using 8-PSK full-rate channels.

- 17 -

Where to signal  What to signal	SACCH Header (2 uncoded bits every 480ms)	Channel associated with SACCH/TP (12 coded bits every 120ms)	“In band” (4 coded bits every radio packet)	“In band” (12 coded bits every radio packet)	“In band” (16 coded bits every radio packet)
Absolute value	$3 \times 480\text{ms} =$ 1440ms (5-bit uncoded TFCI)	360ms (36-bit coded TFCI)	360ms (72-bit coded TFCI)	120ms (72-bit coded TFCI)	100ms (72-bit coded TFCI)
Relative value	480ms (2-bit uncoded command)	120ms (12-bit coded command)	120ms (24-bit coded command)	40ms (24-bit coded command)	40ms (24-bit coded command)

Table 2

5 Note that in the case of the inband solutions, in order to keep the signalling rate the same as for GMSK full-rate channels, 4 coded bits are “stolen” either from a radio packet or a burst, rather than 2 symbols (6 bits) as in the case of GMSK full-rate channels.

In the case of GMSK half-rate channels, the coding of the TFCI is obtained by using only the 10 middle segment of the coding defined for GMSK full-rate channels (see subclause 7.5 of 3GPP TR 45.902). This means that the TFCI sequences contain only half the number of bits as in the case of full-rate channels. Given that also half the number of bits is available in a radio packet, it is proposed that, for inband solutions, only 1 bit is “stolen” from each radio

- 18 -

packet. By doing so, the signalling rate does not vary with respect to the case of full-rate channels, as shown in Table 3.

When signalling a relative value inband, it has been assumed that a 6-bit sequence is used. 5 This would allow maintaining the same adaptation rate as in the case of full-rate channels; however, the error rate for the commands may be higher than in the case of GMSK full-rate channels, and this aspect may be investigated through simulation.

Table 3 shows a summary of possible alternatives for signalling the value of pointer 10 10 indicating the highest allowed TFC to the mobile station using GMSK half-rate channels.

Where to signal	SACCH Header (2 uncoded bits every 480ms)	Channel associated with SACCH/TP (12 coded bits every 120ms)	“In band” (1 coded bit every radio packet)	“In band” (3 coded bit every radio packet)	“In band” (4 coded bit every radio packet)
Absolute value	$3 \times 480\text{ms} =$ 1440ms (5-bit uncoded TFCI)	360ms (36-bit coded TFCI)	360ms (18-bit coded TFCI)	120ms (18-bit coded TFCI)	100ms (18-bit coded TFCI)
Relative value	480ms (2-bit uncoded command)	120ms (12-bit coded command)	120ms (6-bit coded command)	40ms (6-bit coded command)	40ms (6-bit coded command)

Table 3

In the case of 8-PSK half-rate channels, the coding of the TFCI is obtained by using only the 15 middle segment of the coding defined for 8-PSK full-rate channels (the number of bits is the

- 19 -

same as in the case of GMSK full-rate channels). The update times for this case are given in Table 4.

Where to signal  What to signal	SACCH  Header (2 uncoded bits every 480ms)	Channel associated with  SACCH/TP (12 coded bits every 120ms)	“In band” (2 coded bits every radio packet)	“In band” (6 coded bits every radio packet)	“In band” (8 coded bits every radio packet)
Absolute value	$3 \times 480\text{ms} =$ 1440ms (5-bit uncoded TFCI)	360ms (36-bit coded TFCI)	360ms (36-bit coded TFCI)	120ms (36-bit coded TFCI)	100ms (36-bit coded TFCI)
Relative value	480ms (2-bit uncoded command)	120ms (12-bit coded command)	120ms (12-bit coded command)	40ms (12-bit coded command)	40ms (12-bit coded command)

5

Table 4

## SIMULATED RESULTS

10

Possible methods for selecting and signalling the Transport Format Combination (TFC) to be used in the uplink for Flexible Layer One are discussed above. There follow simulation results comparing the alternatives and comparisons of which of the schemes offers optimal performance. Both acknowledged and unacknowledged radio link control (RLC) modes are 15 considered. The simulator used for these simulations is similar to the one used in the

- 20 -

investigations described in GP-031389, "Performance of FLO with one transport block per transport channel per TTI", Siemens, GERAN#15, Florida (USA), 23-27 June 2003, assuming only one Transport Block per Transport Channel per Transmission Time Interval (TTI).

5

A summary of the simulated alternatives for TFC signalling is given in Table 5. In the table, the adaptation period and signalling method is given for each alternative scheme. All TFCs are 8PSK modulated.

Where to signal What signal to signal	SACCH Header (2 uncoded bits every 480ms)	"In band" (4 coded bits every radio packet)	"In band" (16 coded bits every radio packet)
Absolute value	$3 \times 480\text{ms} = 1440\text{ms}$ (5-bit uncoded TFCI)	360ms (72-bit coded TFCI)	100ms (72-bit coded TFCI)
Relative value	480ms	120ms	40ms

10

Table 5

Table 6 summarises the simulation parameters used for all the simulated alternatives.

No. of RLC/MAC blocks simulated	50000
MPEG-4 traffic profile	Variable bit rate (VBR) mode. 48 kbit/s. Total bit rate required including all headers: 54kbit/s Maximum RTP packet size: 1400 bytes. QuickTime codec. I-VOP every 1s. Medium video quality, poor rate control.
QoS	Target $10^{-2}$ to $10^{-3}$ for RTP SDU error rate.
Radio Channel Profile	TU3 with ideal frequency hopping. Log-Normal Fading, correlation distance: 20m, standard deviation: 7 dB. Interference, Rx power, fast and slow fading on each

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	timeslot are assumed to be highly correlated.
Physical channels	Dedicated full-rate channel (DBPSCH/F) with block interleaving. Uplink data transmission. Acknowledgments sent on the downlink channel. For Flexible Layer One operation, multislots configurations can be symmetric or asymmetric. As specified in subclause 8.3.5.1 of 3GPP TS 45.002, the symmetric case consists of only bi-directional channels, whereas the asymmetric case consists of both bi-directional and unidirectional downlink channels. Therefore, if two timeslots are used in the uplink, the downlink part of the two timeslots needs also to be allocated to the MS. In the present document, we make no assumptions on how these resources are used. 8PSK modulation only.
Multislots traffic channel	Two timeslots. High fading correlation between slots. The same interference is assumed for both timeslots.
Error Protection	Equal Error Protection (EEP).
Link Adaptation	Variable depending upon scheme.
ROHC	No header compression. 40 byte RTP/IP/UDP header.
SNDCP functionality	SNDCP header: 2 bytes.
LLC functionality	LLC is operated in unacknowledged mode. LLC header size: 2 bytes. FCS: 3 bytes. LLC frame concatenation. Frames discarded after an LLC discard time of either 3 seconds. Frames which are in the process of being transmitted are not discarded even if their lifetime exceeds the LLC discard time. Buffer size = 4 LLC frames.

Table 6

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Table 7 indicates the Transport Format Combination Set used. All TFCs are 8PSK modulated.

TFC	Block size (octets)
0	22
1	44
2	66
3	88
4	110
5	132

Table 7

5

For each simulation the TFC update period of the link adaptation algorithm and the averaging period for the channel measurements is summarised in Table 8.

Mechanism	Link adaptation TFC update period	Measurement averaging period
SACCH Header, Relative	480 ms	960 ms
SACCH Header, Absolute	1440 ms	2880 ms
Inband, Relative	120 ms	240 ms
Inband, Relative	40 ms	160 ms
Inband, Absolute	360 ms	720 ms
Inband, Absolute	100 ms	200 ms

Table 8

10

Figs. 2 and 3 respectively show the throughput and service data unit frame erasure rate (SDU FER) results for the simulated alternatives, operating in radio link control (RLC) acknowledged mode. The graphs of Figs. 2 and 3 show the throughput and service data unit frame erasure rate (SDU FER) respectively plotted against carrier-to-interference ratio (CIR).

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Good throughput performance is achieved with signalling periods of 120ms or less. The fluctuations in the throughput and service data unit frame erasure rate (SDU FER) for the schemes with update periods greater than 120ms are believed to be due to poor decisions made by the link adaptation algorithm which results using a non-optimal TFC for the 5 prevailing channel conditions.

As shown in Figs. 2 and 3, the best performance among the simulated alternatives, in terms of high throughput and low service data unit frame erasure rate (SDU FER), is achieved with absolute signalling and an update period of 100ms.

10

Figs. 4 and 5 respectively show the throughput and service data unit frame erasure rate (SDU FER) results for the simulated alternatives using radio link control (RLC) unacknowledged mode, plotted against carrier-to-interference ratio (CIR). The throughput for the different alternatives is approximately the same. However, with respect to the service data unit frame 15 erasure rate (SDU FER) measurement, as illustrated in Fig. 5, the performance using a TFC update period of 1440ms is clearly worse than the others, having a higher service data unit frame erasure rate (SDU FER), and is accordingly not considered to be a preferred embodiment.

20 Based on the results of these simulations, a preferred embodiment of the present invention is one in which the absolute TFCI value is signalled, inband, with an update period of 100ms.

While the present invention has been described with reference to a limited number of particular embodiments, given by way of examples only, it will be clear to those skilled in 25 the art that other alternative methods may be employed in the performance of the methods of the present invention, as defined in the appended claims.

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## CLAIMS

1. In a mobile communications system comprising a network and at least one mobile station, a method for selecting a transport format combination TFC to be used for communication from the mobile station to the network, over a channel of variable quality, the method comprising the steps of:
  - in the network,
    - a) defining a set of possible transport format combinations;
    - b) calculating a channel quality requirement for the effective use of each transport format combination;
    - c) indicating the transport format combinations and the channel quality requirements to the mobile station;
    - d) calculating an existing quality of the channel of variable quality; and
    - e) indicating the existing quality of the channel of variable quality to the mobile station;
  - 15 and, in the mobile station;
    - f) storing the transport format combinations and relative channel quality requirements;
    - g) receiving the indication of existing channel quality;
    - h) selecting one of the transport format combinations having a channel quality requirement no greater than the existing channel quality, and
  - 20 i) informing the network of the selected transport combination.
2. A method according to claim 1 wherein the step (h) of selecting one of the transport format combinations is performed with regard to the type of data to be transmitted by the mobile station.
- 25 3. A method according to claim 1 or claim 2, wherein the transport format combinations enable transmission of data blocks containing data from different TBFs in each block.
4. A method according to any preceding claim wherein calculation of the existing quality of the channel of variable quality is performed periodically during communication.

- 25 -

5. A method according to any preceding claim, wherein the relative channel quality is calculated as the minimum channel quality required such that data sent on the channel is received with an error ratio below a defined threshold.
- 5 6. A method according to any preceding claim wherein the step (c) of indicating transport format combinations and channel quality requirements to the mobile station includes the steps of:
  - (c1) ranking the transport format combinations according to the associated channel quality requirement; and
  - 10 (c2) indicating the rank (TFCI) of each transport format combination to the mobile station, along with the transport format combinations themselves, to the mobile station.
7. A method according to claim 6, wherein the step (c2) of indicating the rank of each transport format combination comprises indicating the transport format combinations 15 themselves in order of increasing, or decreasing, rank.
8. A method according to claim 6 or claim 7 wherein the step of indicating the existing quality of the channel of variable quality comprises indicating the rank of the transport format combination having the highest channel quality requirement, which could effectively 20 be employed on the channel in its existing quality.
9. A method according to claim 8, wherein the rank is indicated as an absolute value.
10. A method according to claim 8 wherein the rank is indicated as a relative value, being 25 an offset relative to a previous value of the rank.
11. A method according to any preceding claim wherein the indication of the existing quality of the channel of variable quality is communicated to the mobile station by inband signalling, whereby the indication of the existing quality of the channel of variable quality is 30 included in every downlink radio packet, in data locations normally assigned for carrying user information.

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12. A method according to any preceding claim wherein the indication of the existing quality of the channel of variable quality is communicated to the mobile station by inband signalling, whereby the indication of the existing quality of the channel of variable quality is  
5 split into sections, respective sections being transmitted in respective successive downlink radio packets, in data locations normally assigned for carrying user information.

13. A method according to claim 12 wherein two, or six, or eight data bits of each radio packet are employed for communication of the indication of the existing quality of the  
10 channel of variable quality.

14. A method according to any of claims 1-11 wherein the indication of the existing quality of the channel of variable quality is communicated to the mobile station by inband signalling, whereby the indication of the existing quality of the channel of variable quality is  
15 split into sections, respective sections being transmitted in respective successive radio bursts.

15. A method according to claim 14 wherein two data bits of each burst are employed for communication of the indication of the existing quality of the channel of variable quality.

20 16. A method according to any preceding claim wherein the indication of the existing quality of the channel of variable quality is communicated to the mobile station using the slow associated control channel SACCH, whereby two bits of the SACCH header are employed to transmit the indication of existing quality of the channel of variable quality, over a corresponding number of SACCH messages.

25

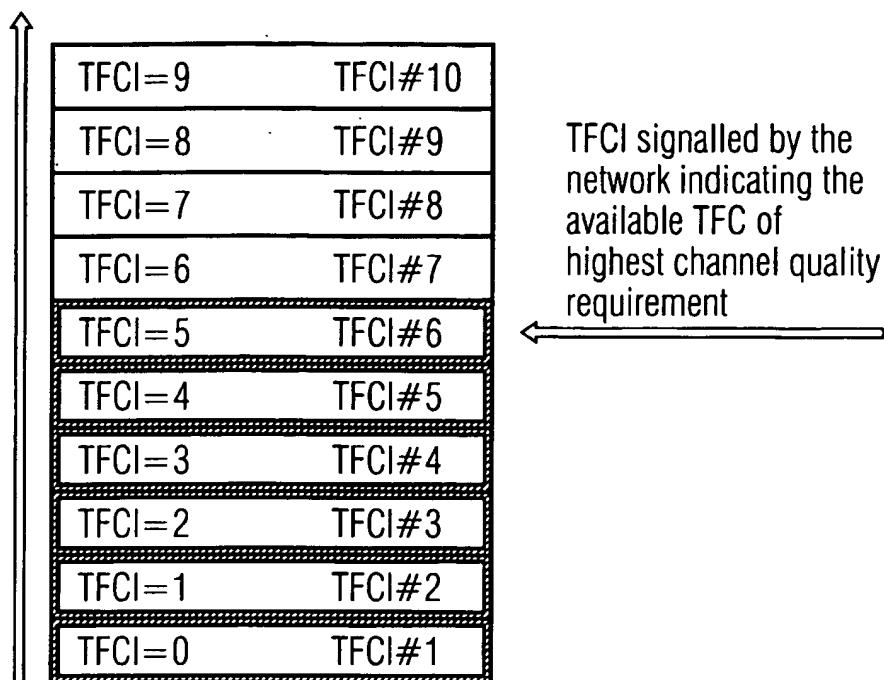
17. A method according to any preceding claim wherein the indication of the existing quality of the channel of variable quality is communicated to the mobile station using a dedicated channel provided in parallel with the slow associated control channel with embedded enhanced power control SACCH/TP for signalling the indication of existing  
30 quality of the channel of variable quality.

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18. A method according to claim 17, wherein the signalling is performed over a number of SACCH/TP bursts, employing twelve bits per SACCH/TP burst.
19. A method according to claim 18, wherein the signalling recommences at every fourth 5 SACCH/TP burst.
20. A method substantially as described and/or as illustrated in the accompanying drawings.
- 10 21. A communications system arranged to operate according to the method of any preceding claim.
22. A network arranged to operate within a communications system according to claim 21.
- 15 23. A mobile station arranged to operate within a communications system according to claim 21.

**FIG 1**

increasing  
channel quality  
requirement



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FIG 2

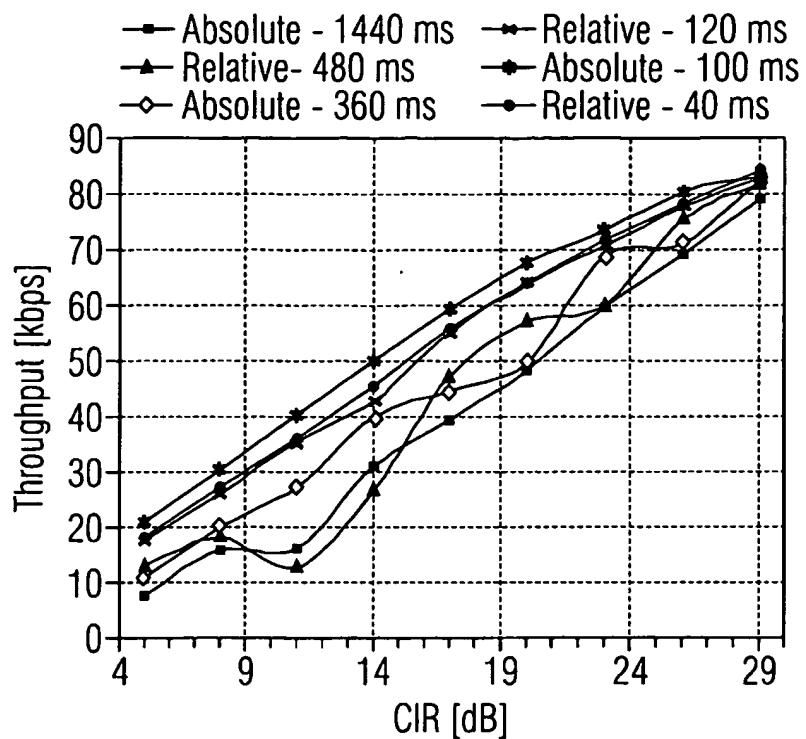
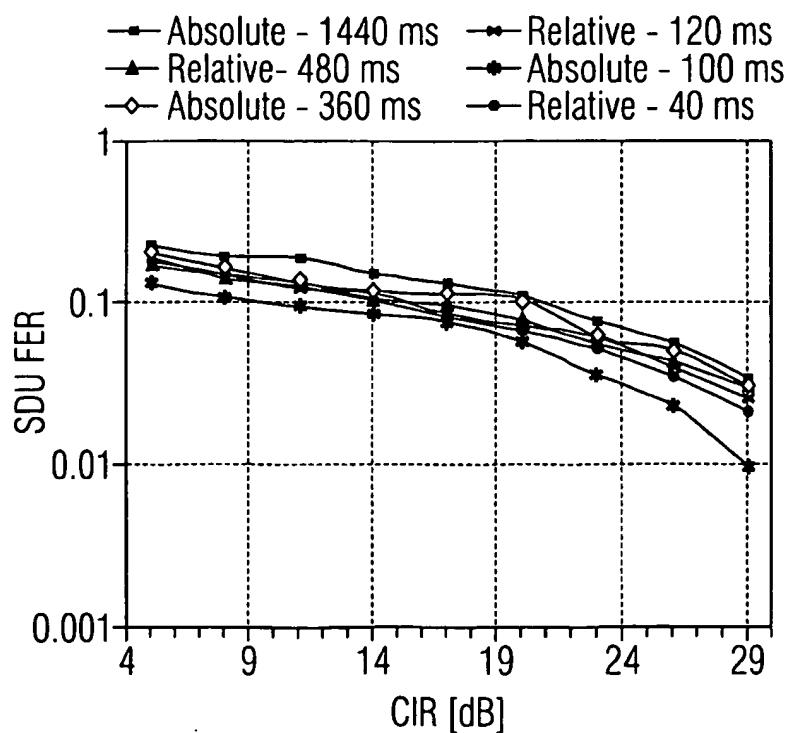
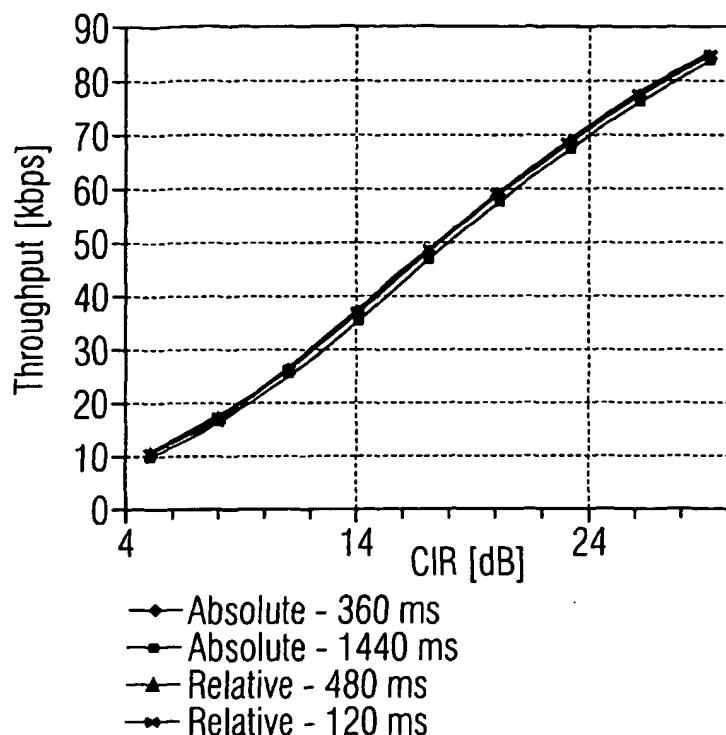
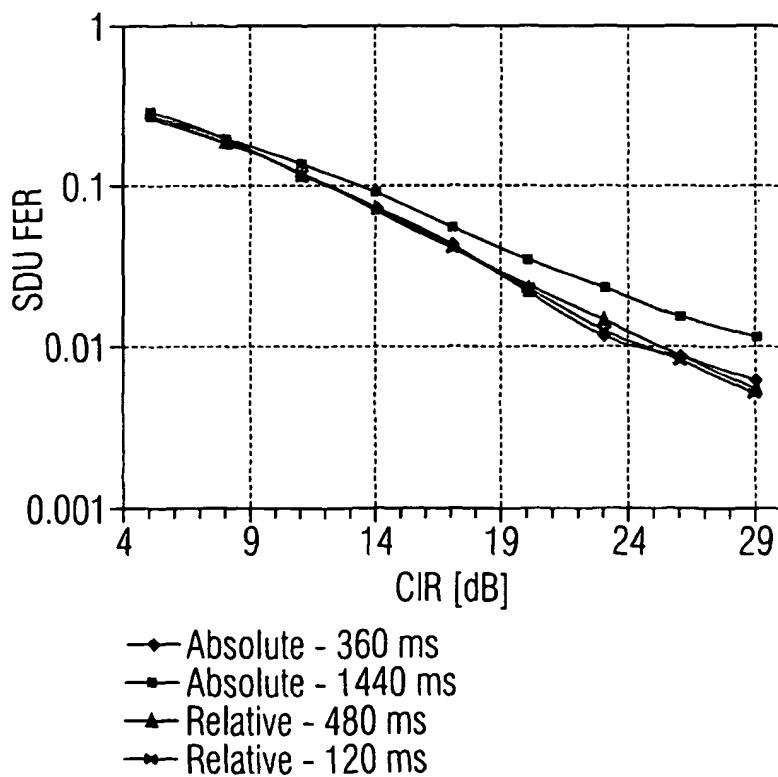


FIG 3

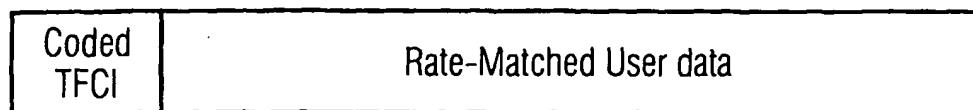


3/4

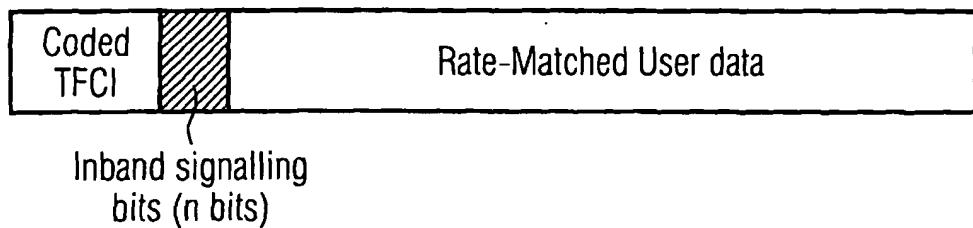
**FIG 4****FIG 5**

## FIG 6

Current format of a radio packet for the Flexible Layer One



Proposed new format of a radio packet for the Flexible Layer One



## INTERNATIONAL SEARCH REPORT

PCT /GB2004/000369

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 H04Q7/38

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 00/28760 A (NOKIA NETWORKS OY ;RINNE MIKKO (FI); SALONEN JANNE (FI); AHMAVAARA) 18 May 2000 (2000-05-18) page 1, line 5 -page 17, line 21 ---	1-19, 21-23
Y	US 6 181 686 B1 (JOKINEN HARRI ET AL) 30 January 2001 (2001-01-30) column 1, line 1 -column 2, line 61 column 3, line 28 -column 4, line 40 column 5, line 65 -column 6, line 8 column 8, line 19-35 ---	1-19, 21-23
A	US 2002/164980 A1 (BERGLUND ARNE ET AL) 7 November 2002 (2002-11-07) paragraphs '0004!-'0057! paragraphs '0064!-'0067! paragraphs '0092!-'0098! paragraph '0103! ---	3 -/-



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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\*&\* document member of the same patent family

Date of the actual completion of the international search

8 June 2004

Date of mailing of the international search report

01/07/2004

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## INTERNATIONAL SEARCH REPORT

PCT /GB2004/000369

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>ETSI: "Digital cellular telecommunications system (phase 2+); GSM/EDGE Radio access network (GERAN) overall description; Stage 2; (3GPP TS 43.051 version 5.7.0 Release 5)"</p> <p>ETSI TS 143 051 V5.7.0, August 2002 (2002-08), pages 1-45, XP002283606</p> <p>page 1 -page 44 page 36, line 4-7 page 40-41, paragraph 6.8.8</p> <p>-----</p>	17-19
A	<p>NOKIA : "Two threshold NodeB packet scheduling"</p> <p>TSG RAN WG1 29 MEETING, 5 - 8 November 2002, pages 1-5, XP002283607</p> <p>Shanghai, China cited in the application</p> <p>page 1-5</p> <p>-----</p>	1-19, 21-23

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.2

Claims Nos.: 20

The subject-matter of claim 20 is defined merely by a reference to the drawings. According to Rule 6.2(a) PCT, claims should not contain such references except where absolutely necessary, which is not the case here. The expression "substantially as described and/or as illustrated in the accompanying drawing" in independent claim 20 leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject-matter of said claim unclear, Article 6 PCT.

As a consequence, independent claim 20 is so unclear in their present form that no meaningful search could be performed in respect of its subject-matter (Article 17(2) b) PCT)

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

**INTERNATIONAL SEARCH REPORT**

PCT/GB2004/000369

**Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: 20 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

PCT/GB2004/000369

Patent document cited in search report	Publication date		Patent family member(s)		Publication date
WO 0028760	A	18-05-2000	FI AU BR CA CN EP WO JP JP	982417 A 1273800 A 9915079 A 2348457 A1 1325601 T 1125460 A2 0028760 A2 3369548 B2 2002530027 T	07-05-2000 29-05-2000 17-07-2001 18-05-2000 05-12-2001 22-08-2001 18-05-2000 20-01-2003 10-09-2002
US 6181686	B1	30-01-2001	FI AU EP WO JP	962834 A 3445397 A 0976282 A1 9803030 A1 2000514624 T	13-01-1998 09-02-1998 02-02-2000 22-01-1998 31-10-2000
US 2002164980	A1	07-11-2002	EP WO US	1386436 A1 02093817 A1 2002167969 A1	04-02-2004 21-11-2002 14-11-2002

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